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Washington, DC 20005-3096

EXAMINER

KUMAR, KALYANA VENKA K

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3653

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PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 10/822,864	Applicant(s) ICHIKAWA ET AL.	
	Examiner KALYANA VENKATESHWAR KUMAR	Art Unit 3653	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 21 April 2008.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-3,5-8,11-15 and 18-25 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-3,5-8,11-15 and 18-25 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date <u>4/13/2004, 9/10/2004, 7/18/2007, 4/21/2008</u> . | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Claim Rejections - 35 USC § 103

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claim 1-3, 6-8, 11-15, 18-23, and 25 are rejected under 35 U.S.C. 103(a) as being unpatentable over **Hirose (USP 4,479,049)** in view of **Jones et al (USP 6,128,402)**.

3. Regarding claims 1, 11, 12, 14, 15, 18- 23, and 25 Hirose discloses a banknote handling system and method, comprising: a money in-out slot (6) that receives or pays out banknotes from or to each customer; a banknote conveyance module; multiple banknote discrimination modules (24) for discriminating each conveyed banknote in a money-in process in which banknotes are inserted in money in-out slot, as well as in a transaction process that is accompanied with the conveyance of banknotes; a banknote storage box (63) for storing a banknote determined as genuine by banknote discrimination module; and a reject box (29) for storing a banknote found undeterminable by banknote discrimination module; a counterfeit collection box (64; it is capable of being a counterfeit collection box), which is different from banknote storage boxes for collecting a banknote determined as counterfeit by banknote discrimination module, but Hirose does not disclose a control unit that, when a banknote

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is fed from banknote storage box or a banknote is stored in the banknote storage box, controls the management module to update the source data of the fed banknote or the stored banknote corresponding to the banknote storage box, a management module for managing information on the transaction process with respect to each banknote, information including transactor information identifying the transactor who has given a banknote stored in counterfeit collection box wherein management module updates the managed information of a banknote when the banknote is fed from the banknote storage box, during the money-in process, the management module allocates the source of each inserted banknote to the banknote storage box or to the counterfeit collection box that the inserted banknote is stored in, when the banknote fed from the banknote storage box is determined as counterfeit as is conveyed to the counterfeit collection box during the money-out process, the management module updates the source data of the banknote determined as counterfeit so as to allocate the source data from the banknote storage box to the counterfeit collection box. Jones teaches a control unit (34) that controls a management module (30) and updates the source data of the banknote corresponding to the banknote storage box to be related to the counterfeit collection box (see Fig. 1d), when the banknote is fed from the banknote storage box and the source data includes a transaction record ID and transactor information comprising an account number and an employee number (10b), during the money-in process, the management module allocates the source of each inserted banknote to the banknote storage box or to the counterfeit collection box that the inserted banknote is stored in (see Fig. 1d and 1e), when the banknote fed from the

banknote storage box is determined as counterfeit as is conveyed to the counterfeit collection box during the money-out process, the management module updates the source data of the banknote determined as counterfeit so as to allocate the source data from the banknote storage box (see Fig. 1e, the management module is capable of updating the source data whether the counterfeit bills are being inputted or outputted of the system) to the counterfeit collection box for the purpose of increased control and efficiency in storing and utilizing characteristic information for comparison (col. 18, lines 39-46). Therefore, it would have been obvious to a person having ordinary skill in the art at the time the invention was made to modify Hirose's device to have a control unit and a management unit, as taught by Jones, for the purpose of increased control and efficiency in storing and utilizing characteristic information for comparison.

4. Regarding claims 2 and 3, Hirose/Jones discloses a temporary storage box (Jones, elements 25-28) that temporarily keeps the banknote determined as genuine by said banknote discrimination module in the money-in process, prior to storage of the banknote into said banknote storage box, wherein the transaction process comprises a deposit cancellation process that receives a customer's input of a cancellation instruction and feeds back the banknote temporarily kept in said temporary storage box to said money in-out slot (Jones, elements 11d, 11b, and 11p).

5. Regarding claim 6, Hirose/Jones discloses the banknote discrimination module carries out banknote discrimination of each conveyed banknote in a banknote feed process (see Fig. 1d), and supplies banknotes to said banknote storage box from a place other than said money in-out slot (it's inherent that during opening and

maintenance of the cassettes that old money out taken out of the banknote storage boxes and new money would be put it, therefore a different mode money to get into and out of the banknote storage boxes) the management module, during the banknote feed process, allocates the source data of each supplied banknote to the banknote storage box or the counterfeit collection box that each supplied banknote is stored in.

6. Regarding claims 7 and 8, Hirose/Jones discloses a cassette detachably attached to said banknote handling system, wherein the banknote discrimination module further carries out banknote discrimination of each conveyed banknote in a process (see Fig. 1e), wherein the banknote feed process feeds out banknotes kept in said cassette attached to said banknote handling system and conveys the banknotes to said banknote storage box for storage (Jones col. 8, lines 44-46).

7. Regarding claim 13, Hirose/Jones discloses a banknote-sorting module (Jones, elements col. 9, lines 19-20).

8. Claim 5 is rejected under 35 U.S.C. 103(a) as being unpatentable over Hirose in view of Jones and in further view of **Guibord et al (USP 4,340,150)**.

9. Regarding claim 5, Hirose/Jones discloses all the limitations of the claim, but Hirose/Jones does not disclose a left-money collection process that stores or collects a banknote. Guibord teaches a left-money collection process that stores or collects a banknote for the purpose of recovering dispensed cash or notes automatically in certain conditions when abnormal or erroneous operation has been detected (col. 1, lines 64-66). Therefore, it would have been obvious to a person having ordinary skill in the art at the time the invention was made to modify Hirose/Jones's banknote handling system

with a left-money collection process that stores or collects a banknote, as taught by Guibord, for the purpose of recovering dispensed cash or notes automatically in certain conditions when abnormal or erroneous operation has been detected.

10. Claim 24 rejected under 35 U.S.C. 103(a) as being unpatentable over Hirose in view of Jones and in further view of **Yukimoto et al (USP 5,605,214)**.

11. Regarding claim 24, Hirose/Jones discloses all the limitations of the claim, but Hirose/Jones does not the management module manages the source data with a banknote number sequentially allocated to each banknote stored in the banknote storage boxes and when a banknote is fed from the banknote storage boxes, the control unit changes the banknote number allocated to the banknote. Yukimoto teaches the management module manages the source data with a banknote number sequentially allocated to each banknote stored in the banknote storage boxes and when a banknote is fed from the banknote storage boxes, the control unit changes the banknote number allocated to the banknote for the purpose of sequentially stacking and accumulating the authentic banknotes (col. 1, lines 17-22). Therefore, it would have been obvious to a person having ordinary skill in the art at the time the invention was made to modify Hirose/Jones's banknote handling system to store banknotes sequentially, as taught by Yukimoto, for the purpose of sequentially stacking and accumulating the authentic banknotes.

12. Regarding claims 4, 9-10, and 16-17, the claims have been canceled.

Response to Arguments

13. Applicant's arguments filed 4/14/2008 have been fully considered but they are not persuasive.

14. **Rejections under USC 112**

15. Regarding rejections under USC 112, rejections have been withdrawn due to Applicant's amendment.

16. **Rejections under USC 102**

17. Regarding rejections under USC 102, see added teaching in rejection Hirose in view of Jones above.

Conclusion

18. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

19. A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

20. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kalyan Kumar whose telephone number is 571-272-8102. The examiner can normally be reached on Mon-Fri 7:00AM-3:30PM.

21. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Patrick Mackey can be reached on 571-272-6916. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

22. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Patrick H. Mackey/
Supervisory Patent Examiner, Art
Unit 3653

Kalyan Kumar

Examiner

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